

SEC NEWS DIGEST

Issue 2005-177

September 14, 2005

COMMISSION ANNOUNCEMENTS

COMMISSION MEETINGS

OPEN COMMISSION MEETING - SEPTEMBER 21, 2005 - 10:00 A.M.

An Open Meeting will be held on Wednesday, September 21, 2005, at 10:00 a.m. in Room L-002, the Auditorium. The subject matters of the open meeting will be

1. The Commission will consider whether to extend the date by which companies that are not accelerated filers must comply with certain amendments to Rules 13a-15 and 15d-15 under the Securities Exchange Act of 1934, Items 308(a) and (b) of Regulations S-K and S-B, Item 15 of Form 20-F, and General Instruction B of Form 40-F. These amendments require companies, other than registered investment companies, to include in their annual reports a report of management and an accompanying auditor's report on the company's internal control over financial reporting. The amendments also require a company's management to evaluate as of the end of each fiscal period any change in the company's internal control over financial reporting that occurred during the period that has materially affected, or is reasonably likely to materially affect, the company's internal control over financial reporting. If approved, a company that is not an accelerated filer would have to comply with the internal control over financial reporting requirements for its first fiscal year ending on or after July 15, 2007.
2. The Commission will consider whether to propose amendments to the "accelerated filer" definition in Rule 12b-2 of the Securities Exchange Act of 1934 to:
 - a. create a new category of accelerated filer that would include reporting companies with a public float of \$700 million or more; and
 - b. ease some of the current restrictions on the exit of companies from accelerated filer status.

The proposed amendments also would amend the final phase-in of the Form 10-K and Form 10-Q accelerated filing deadlines that is scheduled to take effect next year. Accelerated filers currently are scheduled to become subject to a 60-day filing deadline for their Form 10-K annual reports filed for fiscal years ending on or after December 15, 2005, and a 35-day deadline for the three subsequently filed quarterly reports on Form 10-Q.

CLOSED COMMISSION MEETING - SEPTEMBER 22, 2005 - 2:00 P.M.

A closed meeting will be held on Thursday, September 22, 2005 at 2:00 p.m. The subject matters of the closed meeting will be Formal orders of investigations; Institution and settlement of injunctive actions; and

Institution and settlement of administrative proceedings of an enforcement nature.

At times, changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact the Office of the Secretary at (202) 551-5400.

SEC AMONG BEST PLACES TO WORK IN THE FEDERAL GOVERNMENT

The Securities and Exchange Commission is pleased to announce that it has been ranked among the top five places to work in the federal government in a study conducted by the Partnership for Public Service and U.S. News and World Report.

"I'm immensely proud that the SEC has achieved this distinction," said Chairman Christopher Cox. "The honor is even more significant because it reflects the views of the Commission's own employees. The SEC is an exceptional team of dedicated professionals who share a vital mission, and a commitment to hard work in the public interest. Almost everyone who works here could earn more in the private sector. We are all here because we want to be."

The Commission can accomplish its mission of protecting investors and maintaining the integrity of the securities markets only if it has a highly skilled, experienced, and enthusiastic workforce. To maintain such a workforce, the Commission has implemented or expanded many new human capital programs including a more competitive pay structure, the SEC University, telework, fitness centers, vision and dental benefits, and emergency child care. These programs enable the SEC's employees to stay healthy, achieve a balance between work and family, and move forward in their professional careers.

Complete results, compiled in a joint study conducted by the Partnership for Public Service and the Institute for Study of Public Policy Implementation and based on data from the 2004 Federal Human Capital Survey, can be accessed at www.bestplacestowork.org. (Press Rel. 2005-131)

ENFORCEMENT PROCEEDINGS

SEC ISSUES CEASE-AND-DESIST ORDER, FINDING FORMER SALESPERSON OF LEGATO'S CUSTOMER WAS A CAUSE OF LEGATO'S FRAUD

On September 13, the Commission issued an Order Instituting Cease-and-Desist Proceedings, Making Findings, and Imposing a Cease-and-Desist Order Pursuant to Section 21C of the Securities Exchange Act of 1934 against Vincent Steckler. The Order finds that Steckler was a cause of fraudulent reporting of financial results for one quarter in 1999 by Legato Systems, Inc., based on a large transaction between Legato and Steckler's employer at the time, which was a reseller customer of Legato. In particular, Steckler participated in his employer's placing a \$7 million order with Legato in which his employer was given the right to cancel the order pursuant to a separate, undisclosed "side" agreement, causing Legato to materially overstate its financial results for the quarter.

Based on the above, the Order finds that Steckler was a cause of

Legato's fraud and reporting violations and of others' falsification of Legato's records, and orders Steckler to cease and desist from committing or causing any violations and any future violations of Sections 10(b) and 13(b)(5) of the Exchange Act, and Rules 10b-5 and 13b2-1 thereunder, and from causing any violations of Sections 13(a) and 13(b)(2)(A) of the Exchange Act, and Rules 12b-20 and 13a-13 thereunder. Steckler consented to the issuance of the Order without admitting or denying any of the findings in the Order. (Rels. 34-52411; AAER-2311; File No. 3-12037)

IN THE MATTER OF OPPENHEIMERFUNDS, INC. AND OPPENHEIMERFUNDS DISTRIBUTOR, INC.

On September 14, the Commission filed a settled administrative and cease-and-desist order against OppenheimerFunds, Inc. (OFI) and OppenheimerFunds Distributor, Inc. (OFDI) (collectively Oppenheimer), the investment adviser and principal underwriter and distributor affiliated with the Oppenheimer Funds Complex (Funds), finding that Oppenheimer, without proper disclosure, used brokerage commissions - which are fund assets -- on trades executed for some of the Funds to reduce OFDI's revenue sharing obligations with certain broker-dealers.

The Commission's Order included the following findings, which Oppenheimer neither admitted nor denied.

- * Between Jan. 1, 2000 and June 2003, and in the case of approximately 25 broker-dealers, OFDI, instead of exclusively using cash from its own resources, used approximately \$15.8 million worth of brokerage commissions to reduce cash payments under revenue sharing arrangements. OFDI's payment revenue sharing in some cases resulted in placement on certain broker-dealers' "preferred lists" of mutual funds, increased access to brokers' registered representatives, placement on the brokers' websites, and participation in broker conferences, among other things.
- * OFI failed to disclose the practice of using brokerage commissions to reduce cash payments under revenue sharing arrangements. By reducing so revenue sharing payments with the Funds' brokerage commissions, OFI permitted its subsidiary, OFDI, to avoid an expense that OFDI otherwise would have incurred. OFI had an obligation to fully and fairly disclose this practice to the Fund Boards, so the Fund Boards could determine if it was in the interest of Fund shareholders.
- * OFI violated Section 206(2) of the Investment Advisers Act, Sections 15(c) and 34(b) of the Investment Company Act, and Section 17(d) of the Investment Company Act, and Rule 17d-1 thereunder. OFDI aided and abetted and caused OFI's violations of Section 206(2) of the Advisers Act, and Section 17(d) of the Investment Company Act, and Rule 17d-1 thereunder.
- * In May 2004, OFI voluntarily paid to the Funds approximately \$15.8 million, which represented the gross amount of brokerage commissions that had been used to reduce revenue sharing cash payments. In recognition of that prior payment, as well as Oppenheimer's voluntary cessation of the practice of directed brokerage, and its cooperation with the Commission staff, the Commission did not order Oppenheimer to pay disgorgement of approximately \$12.45 million - OFDI's net benefit, prejudgment interest of approximately \$1.4 million, or a civil penalty.

The Commission censured OFI and OFDI; ordered OFI and OFDI to cease and desist from committing or causing any violations and any future violations of the provisions listed above; and ordered OFI and OFDI to

comply with certain remedial undertakings. (Rels. 34-52420; IA-2427; IC-27065; File No. 3-12038)

COURT ENTERS PRELIMINARY INJUNCTIONS AGAINST DAVID PAJGIN AND FOREIGN DEFENDANTS INVOLVED IN SUSPICIOUS REEBOK TRADING

On September 13, the Honorable Kimba M. Wood entered preliminary injunctions against defendants Sonja Anticevic, David Pajcin, Zoran Sormaz, Perica Lopandic, Ilija Borac and Certain Unknown Persons trading in an account at an Austrian broker, Direktanlage.at AG (the Direktanlage Traders). Among other things, the Court order requires the foreign defendants to repatriate the proceeds of Reebok International Ltd. securities transactions.

By virtue of this order, there are now preliminary injunctions in place against all of the defendants in this action. On Aug. 18, 2005, defendants Henry Siegel, Monika Vujovic and Elvis Santana consented to the entry of preliminary injunctions against them which, among, other relief, provided for certain asset freezes. Also on Aug. 18, 2005, a separate preliminary injunction was entered against Anticevic.

On Aug. 18, 2005, the Commission filed an amended complaint, charging the nine defendants with insider trading for directing and/or conducting additional suspicious trading in the securities of Reebok both domestically and abroad just prior to Reebok's Aug. 3, 2005, announcement that it had agreed to be acquired by adidas-Salomon AG. The Commission's amended complaint alleges the following:

On Aug. 1 and 2, 2005, through four domestic accounts, Anticevic, Siegel, Vujovic, and Santana purchased a total of 4,097 Reebok "out of the money" call options. Collectively, these accounts comprised nearly 80% of the buy volume in Reebok call options on those days. All positions in each account were liquidated after the acquisition announcement on Aug. 3, 2005. In total, the domestic trading netted profits exceeding \$4 million.

On those same days, through foreign accounts maintained at the same broker, Anticevic, Sormaz, Lopandic and Borac purchased the equivalent of 145,240 shares of Reebok common stock. Each of those accounts sold all of its Reebok shares on Aug. 3, 2005. On Aug. 2, 2005, an account maintained at an Austrian broker, Direktanlage, purchased 7,545 shares of Reebok common stock, which were also sold on Aug. 3, 2005. Collectively, the proceeds from the foreign trading in Reebok shares were more than \$2 million.

The Commission's complaint alleges that Pajcin, who is Anticevic's nephew, placed or directed some of the Reebok trades, and tipped other defendants who placed Reebok trades.

In addition to the Reebok trading, overlapping trades were placed at the same time in both the domestic and foreign accounts in the securities of other companies.

As a result of the defendants' conduct, the Commission alleges that the defendants engaged in illegal insider trading in violation of Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5. Among other things, the Complaint seeks permanent injunctive relief, the disgorgement of all illegal profits, and the imposition of civil monetary penalties. [SEC v. Sonja Anticevic, et al. 05 Civ. 6991, KMW] (LR-19374)

FORMER DIRECTOR OF ADVERTISING AT ADVANCED MARKETING SERVICES, INC. SETTLES SEC'S CHARGES OF SCHEMES TO INFLATE ADVERTISING EARNINGS

The Commission announced today that, on September 8 the United States District Court for the Southern District of California entered final judgment as to defendant Marcy Wilson Roke in the federal civil enforcement action filed by the Commission. Roke was the former director of advertising at Advanced Marketing Services (AMS), a San Diego-based wholesaler of general interest books that provides a variety of other services, including promotional and advertising services. The final judgment (1) permanently enjoins Roke from violating and/or aiding and abetting violation of the antifraud, record keeping and falsifying books and records provisions, specifically, Section 17(a) of the Securities Act of 1933 and Sections 10(b), 13(b)(2)(A), and 13(b)(5) of the Securities Exchange Act of 1934 and Rules 10b-5 and 13b2-1 thereunder; and (2) requires Roke to pay a \$25,000 civil penalty and \$56,458.20 in disgorgement and prejudgment interest. Roke consented to the entry of the final judgment without admitting or denying the allegations in the Commission's complaint.

On September 29, 2004, the Commission filed a complaint against Roke alleging that she was involved in two schemes at AMS which caused AMS to fraudulently overstate its earnings. First, through Roke's involvement, AMS improperly recognized revenue on a greater number of print and mail advertising vehicles than AMS had in fact produced and distributed for its publisher clients. Second, Roke participated in a scheme to increase AMS's income by directing AMS personnel to not inform retailers of credits due to them for certain advertising and promotional services that the retailers provided. Instead of contacting the retailers and reconciling amounts, AMS improperly reversed the liability and thereby decreased expenses and increased its income. Roke profited from her participation in the fraudulent schemes from her receipt of annual bonuses and sales of AMS stock. The complaint alleges that the fraudulent schemes caused AMS to overstate its pre-tax earnings by about 9% in fiscal year 2001, 10% in fiscal year 2002, and 19% in fiscal year 2003.

In September 2004, the United States Attorney's Office for the Southern District of California announced that Roke had pled guilty to a two-count Information charging her with conspiracy and wire fraud in connection with her participation in a scheme to defraud AMS's customers. Roke entered her guilty plea in San Diego before the Honorable Nita L. Stormes, United States Magistrate Judge.

The Commission's investigation is continuing. [SEC v. Marcy Wilson Roke, Case No. 04 CV 1966 H, POR, S.D. Cal.] (LR-19375)

COMMISSION CHARGES MASSACHUSETTS BIOTECHNOLOGY COMPANY AND EXECUTIVES WITH SECURITIES FRAUD

On September 14, the Commission filed a civil fraud action against Biopure Corporation of Cambridge, Mass., and three top executives for misleading public statements about the company's efforts to obtain FDA approval for its primary product, while at the same time Biopure was raising millions of dollars from investors. The action, filed in federal district court in Massachusetts, charges Biopure, its former CEO Thomas Moore of Boston, former Senior Vice President of Regulatory Affairs and Operations Howard Richman of Houston, Texas, and current General Counsel Jane Kober of Bellport, N.Y., with violating or aiding and abetting

violations of the antifraud and reporting provisions of the federal securities laws in connection with materially misleading statements between April and December, 2003.

The Commission's complaint alleges that, beginning in April 2003, Biopure received negative information from the FDA regarding its efforts to obtain FDA approval of its synthetic blood product Hemopure but failed to disclose the information, or falsely described it as positive. Specifically, the complaint alleges that in April 2003, the FDA placed a clinical hold barring Biopure from conducting clinical trials of Hemopure in trauma settings such as emergency rooms, because of safety concerns about Hemopure. As alleged, during the next eight months, the company concealed the imposition of the clinical hold while making public statements about its plans to obtain approval for trauma uses of Hemopure. In addition, according to the complaint, in July 2003 the FDA informed Biopure that it had not approved Biopure's application for use of Hemopure in orthopedic surgery, and instead conveyed serious concerns about whether the materials Biopure had submitted in support of its application were reliable and questioned the safety of Hemopure. Biopure, however, issued public statements beginning on Aug. 1, 2003, describing the FDA's communication as good news, causing its stock price to increase by over 20%. The Complaint alleges that Biopure continued to make misleading statements until December 2003. During this period, Biopure raised over \$35 million from investors. The complaint further alleges that as the true status of Biopure's efforts to obtain FDA approval gradually became public, through a series of incomplete and misleading disclosures between late October and the end of December 2003, the company's stock price plummeted almost 66% from its August 1 price.

According to the complaint, Moore, the former CEO, personally made and approved misleading statements; Richman, the former officer responsible for FDA relations, made and provided information for misleading statements; and Kober, who continues to serve as Biopure's General Counsel, drafted and approved misleading statements. The Commission's complaint charges Biopure and the three individual defendants with violating Section 17(a) of the Securities Act of 1933 and Section 10(b) of the Securities Exchange Act of 1934 and Rule 10b-5 thereunder and with directly or indirectly violating Section 13(a) of the Exchange Act and Rules 12b-20, 13a-1, 13a-11 and 13a-13 thereunder, and charges Moore with violating Rule 13a-14 thereunder. The Commission is seeking injunctive relief, civil penalties, and an order barring Moore, Richman and Kober from serving as officers or directors of any public company. [SEC v. Biopure Corporation, Thomas Moore, Howard Richman and Jane Kober, United States District Court for the District of Massachusetts, Civil Action No. 05-11853-WGY] (LR-19376)

INVESTMENT COMPANY ACT RELEASE

ACM INCOME FUND, INC., ET AL.

An order has been issued on an application filed by ACM Income Fund, Inc., et al. under Section 12(d)(1)(J) of the Investment Company Act for an exemption from Sections 12(d)(1)(A) and (B) of the Act, under Section 6(c) and 17(b) of the Act for an exemption from Section 17(a) of the Act, and under Section 17(d) of the Act and Rule 17d-1 under the Act to permit certain joint transactions. The order permits certain registered management investment companies to invest uninvested cash and cash

collateral in affiliated money market funds or affiliated private investment companies excluded from the definition of investment company under Sections 3(c)(1) or 3(c)(7) of the Act that comply with Rule 2a-7 under the Act. (Rel. IC-27063 - September 13)

HOLDING COMPANY ACT RELEASE

ALLEGHENY ENERGY INC.

A notice has been issued giving interested persons until Oct. 7, 2005, to request a hearing on a proposal by Allegheny Energy, Inc., a registered holding company, and its subsidiary, Monongahela Power company, to sell certain utility assets in Ohio to Columbus Southern Power Company. (Rel. 35-28028)

SELF REGULATORY ORGANIZATIONS

APPROVAL OF PROPOSED RULE CHANGE

The Commission approved a proposed rule change and Amendment No. 1 thereto filed by the American Stock Exchange (SR-Amex-2005-024) to establish a process for the waiver, deferral or rebate of listing fees for certain closed-end funds. Publication of the order is expected in the Federal Register during the week of September 12. (Rel. 34-52408)

SECURITIES ACT REGISTRATIONS

The following registration statements have been filed with the SEC under the Securities Act of 1933. The reported information appears as follows: Form, Name, Address and Phone Number (if available) of the issuer of the security; Title and the number and/or face amount of the securities being offered; Name of the managing underwriter or depositor (if applicable); File number and date filed; Assigned Branch; and a designation if the statement is a New Issue.

Registration statements may be obtained in person or by writing to the Commission's Public Reference Branch at 450 Fifth Street, N.W., Washington, D.C. 20549 or at the following e-mail box address: publicinfo@sec.gov. In most cases, this information is also available on the Commission's website: www.sec.gov.

- S-4 ABC BANCORP, 24 2/ND/ AVENUE, MOULTRIE, GA, 31768, 9128901111 - 0 (\$5,254,664.00) Equity, (File 333-128269 - Sep. 13) (BR. 07B)
- S-4 Triple Crown Media, Inc., 546 EAST MAIN STREET, LEXINGTON, KY, 40508, (859) 226-4678 - 0 (\$2,054,715.20) Equity, (File 333-128270 - Sep. 13) (BR.)

SB-2 Yaoshun INC, 80 WALL STREET, SUITE 818, NEW YORK, NY, 10005,
(212) 809-1200 - 4,850,000 (\$97,000.00) Equity, (File 333-128271 -
Sep. 13) (BR. 09)

S-1 AMCOMP INC /FL/, 701 U S HIGHWAY ONE, SUITE 200, NORTH PALM BEACH, FL,
33408, 5618407171 - 0 (\$110,000,000.00) Equity, (File 333-128272 -
Sep. 13) (BR. 01)

S-8 AMERICAN ELECTRIC POWER CO INC, 1 RIVERSIDE PLAZA, COLUMBUS, OH, 43215,
614-716-1193 - 15,445,850 (\$587,560,134.00) Equity, (File 333-128273 -
Sep. 13) (BR. 02B)

S-8 MATRIXX INITIATIVES INC, 2375 EAST CAMELBACK ROAD, SUITE 500, PHOENIX,
AZ, 85016, 6023875353 - 500,000 (\$6,685,000.00) Equity,
(File 333-128274 - Sep. 13) (BR. 01B)

S-8 QUANTUM CORP /DE/, 1650 TECHNOLOGY DR, SUITE 800, SAN JOSE, CA, 95110,
4089444000 - 11,013,063 (\$30,946,707.03) Equity, (File 333-128275 -
Sep. 13) (BR. 03B)

S-3 UNITED ENERGY CORP /NV/, 600 MEADOWLANDS PARKWAY, SECAUCUS, NJ, 07094,
2018420288 - 12,377,500 (\$17,699,825.00) Equity, (File 333-128276 -
Sep. 13) (BR. 04B)

SB-2 NEBS Bancshares, Inc., 660 ENFIELD STREET, ENFIELD, CT, 06082,
860-253-5200 - 6,321,900 (\$63,219,000.00) Equity, (File 333-128277 -
Sep. 13) (BR. 09)

S-8 COMMUNITY BANK SHARES OF INDIANA INC, 101 W SPRING ST, P O BOX 939,
NEW ALBANY, IN, 47150, 8129496844 - 400,000 (\$9,336,000.00) Equity,
(File 333-128278 - Sep. 13) (BR. 07B)

S-3 LIFEPOINT HOSPITALS, INC., 103 POWELL COURT, SUITE 200, BRENTWOOD, TN,
37027, 615-372-8500 - 0 (\$228,825,000.00) Debt Convertible into Equity,
(File 333-128279 - Sep. 13) (BR. 01B)

S-8 INTERNATIONAL BROADCASTING CORP /NV/, 8059385573 -
33,000,000 (\$198,000.00) Equity, (File 333-128281 - Sep. 13) (BR. 05B)

S-3 CROSSTEX ENERGY LP, 0 (\$57,722,826.00) Other, (File 333-128282 -
Sep. 13) (BR. 04A)

S-8 POLYONE CORP, POLYONE CENTER, 33587 WALKER ROAD, AVON LAKE, OH, 44012,
440-930-1000 - 5,000,000 (\$32,750,000.00) Equity, (File 333-128283 -
Sep. 13) (BR. 06A)

S-8 TEXXON INC, 207 NORTH GILBERT, SUITE 210-D, GILBERT, AZ, 85234,
4802150195 - 12,000,000 (\$1,140,000.00) Equity, (File 333-128284 -
Sep. 13) (BR. 04C)

SB-2 SKY PETROLEUM, INC., 108 WILD BASIN ROAD, AUSTIN, TX, 78746,
7805549476 - 10,557,865 (\$13,830,803.15) Equity, (File 333-128285 -
Sep. 13) (BR. 04)

S-3 VECTREN UTILITY HOLDINGS INC, 20 NW 4TH ST, EVANSVILLE, IN, 47708,
8124914000 - 222 (\$24,642.00) Debt Convertible into Equity,
(File 333-128286 - Sep. 13) (BR. 02B)

SB-2 TASKER CAPITAL CORP, 39 OLD RIDGEBURY ROAD, SUITE 14, DANBURY, CT,
06810, 2037304350 - 19,357,994 (\$57,493,242.00) Equity,

(File 333-128287 - Sep. 13) (BR. 06A)

SB-2 BLUE HOLDINGS, INC., 5804 E. SLAUSON AVE., COMMERCE, CA, 90040,
(323) 725-5555 - 25,284,657 (\$227,561,913.00) Equity,
(File 333-128288 - Sep. 13) (BR. 02C)

S-3 CONTINENTAL AIRLINES INC /DE/, 1600 SMITH STREET 3303D, DEPT HQSEO,
HOUSTON, TX, 77002, 7133245000 -
0 (\$1,000,000,000.00) Unallocated (Universal) Shelf, (File 333-128289 -
Sep. 13) (BR. 05B)

S-8 ACADIA PHARMACEUTICALS INC, 3911 SORRENTO VALLEY BLVD, SAN DIEGO, CA,
92121, 858-558-2871 - 0 (\$7,054,840.00) Equity, (File 333-128290 -
Sep. 13) (BR. 01)

S-8 ONLINE RESOURCES CORP, 7600 COLSHIRE DRIVE, MCLEAN, VA, 22102,
7033945100 - 0 (\$16,532,500.00) Equity, (File 333-128291 - Sep. 13)
(BR. 08B)

S-3 SONIC SOLUTIONS/CA/, 101 ROWLAND WAY, STE 110, NOVATO, CA, 94945,
4158938000 - 0 (\$13,194,431.00) Equity, (File 333-128292 - Sep. 13)
(BR. 03B)

S-8 Orchids Paper Products CO /DE/, 4826 HUNT STREET, PRYOR, OK, 74361,
918-825-0616 - 465,000 (\$4,078,050.00) Equity, (File 333-128293 -
Sep. 13) (BR. 04)

S-11 Deerfield Triarc Capital Corp, 8700 WEST BRYN MAWR AVE 12TH FL,
CHICAGO, IL, 60631, 773-380-1600 - 27,993,857 (\$390,514,306.00) Equity,
(File 333-128294 - Sep. 13) (BR. 08B)

S-3 BOTTOMLINE TECHNOLOGIES INC /DE/, 325 CORPORATE DRIVE, PORTSMOUTH, NH,
03801, 6034360700 - 184,956 (\$2,975,942.04) Equity, (File 333-128295 -
Sep. 13) (BR. 03A)

S-1 ONLINE RESOURCES CORP, 7600 COLSHIRE DRIVE, MCLEAN, VA, 22102,
7033945100 - 875,306 (\$8,306,654.00) Equity, (File 333-128296 -
Sep. 13) (BR. 08B)

F-1 A-Max Technology LTD, 10/F, A-MAX TECHNOLOGY TOWER,
12-16 FUI YIU KOK STREET, TSUEN WAN, NEW TERRITORIES, K3, SAR,
852 2798 6699 - 0 (\$150,000,000.00) ADRs/ADSs, (File 333-128297 -
Sep. 13) (BR.)

RECENT 8K FILINGS

Form 8-K is used by companies to file current reports on the following events:

- 1.01 Entry into a Material Definitive Agreement
- 1.02 Termination of a Material Definitive Agreement
- 1.03 Bankruptcy or Receivership
- 2.01 Completion of Acquisition or Disposition of Assets
- 2.02 Results of Operations and Financial Condition
- 2.03 Creation of a Direct Financial Obligation or an Obligation under an
Off-Balance Sheet Arrangement of a Registrant
- 2.04 Triggering Events That Accelerate or Increase a Direct Financial Obligation
under an Off-Balance Sheet Arrangement
- 2.05 Cost Associated with Exit or Disposal Activities

- 2.06 Material Impairments
- 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing
- 3.02 Unregistered Sales of Equity Securities
- 3.03 Material Modifications to Rights of Security Holders
- 4.01 Changes in Registrant's Certifying Accountant
- 4.02 Non-Reliance on Previously Issued Financial Statements or a Related Audit Report or Completed Interim Review
- 5.01 Changes in Control of Registrant
- 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers
- 5.03 Amendments to Articles of Incorporation or Bylaws; Change in Fiscal Year
- 5.04 Temporary Suspension of Trading Under Registrant's Employee Benefit Plans
- 5.05 Amendments to the Registrant's Code of Ethics, or Waiver of a Provision of the Code of Ethics
- 6.01. ABS Informational and Computational Material.
- 6.02. Change of Servicer or Trustee.
- 6.03. Change in Credit Enhancement or Other External Support.
- 6.04. Failure to Make a Required Distribution.
- 6.05. Securities Act Updating Disclosure.
- 7.01 Regulation FD Disclosure
- 8.01 Other Events
- 9.01 Financial Statements and Exhibits

8-K reports may be obtained in person or by writing to the Commission's Public Refer

NAME OF ISSUER	STATE CODE	8K ITEM NO.
AAMES INVESTMENT CORP	MD	7.01,8.01,9.01
ABERDENE MINES LTD		7.01,9.01
ACADIA PHARMACEUTICALS INC	DE	1.01,5.02,9.01
ACE LTD	D0	8.01,9.01
ADELPHIA COMMUNICATIONS CORP	DE	8.01,9.01
ADVANCED 3-D ULTRASOUND SERVICES INC	FL	1.01,3.02,9.01
ADVANCED INTEGRATED MANAGEMENT SERVIC	UT	1.01
AEGIS ASSET BACKED SECURITIES TRUST M	DE	8.01
AETNA INC /PA/	PA	7.01
AFC ENTERPRISES INC	MN	1.02
AFFORDABLE RESIDENTIAL COMMUNITIES IN	MD	1.01,9.01
AFP IMAGING CORP	NY	2.02,9.01
AFTERMARKET TECHNOLOGY CORP	DE	1.01
AK STEEL HOLDING CORP	DE	2.02,7.01,9.01
ALBERTO CULVER CO	DE	5.04

ALEXANDRIA HOLDINGS INC	NV	1.01,5.02
ALPHA PRO TECH LTD	DE	7.01,9.01
ALPHARMA INC	DE	1.01
Alternative Loan Trust 2005-44		8.01,9.01
AMERICAN PETRO-HUNTER INC	NV	4.01,9.01
AMERICAN TECHNOLOGIES GROUP INC	NV	1.01,2.01,2.03,3.02,5.01,5.02,9.01
AMERISOURCEBERGEN CORP	DE	8.01
AMREP CORP	OK	2.02,9.01
AMSOUTH BANCORPORATION	DE	7.01,9.01
ARAHOVA COMMUNICATIONS INC	DE	8.01,9.01
ARCHIPELAGO HOLDINGS INC		7.01,9.01
ASPEN TECHNOLOGY INC /DE/	DE	2.02,9.01
AURA SYSTEMS INC	DE	1.01,9.01
AVNET INC	NY	9.01
AXESSTEL INC	NV	2.02,9.01
Banc of America Commercial Mortgage I	DE	8.01,9.01
Banc of America Mortgage Securities M	DE	8.01,9.01
Bayview Financial Mortgage Pass-Throu	DE	8.01
BCB BANCORP INC		8.01,9.01
BEAR STEARNS COMMERCIAL MORTGAGE SECU	DE	8.01,9.01
Bear Stearns Commercial Mortgage Secu	DE	8.01,9.01
Bear Stearns Commercial Mortgage Secu	DE	8.01,9.01
Bear Stearns Commercial Mortgage Secu	DE	8.01,9.01
BEAR STEARNS COMPANIES INC	DE	8.01
BEST BUY CO INC	MN	2.02,9.01
BINDVIEW DEVELOPMENT CORP	TX	1.01,9.01
BIOGEN IDEC INC	DE	1.01,2.05,9.01
BIOPHAN TECHNOLOGIES INC	NV	7.01,9.01
BISYS GROUP INC	DE	1.01,9.01
BOSTON LIFE SCIENCES INC /DE	DE	1.01,8.01,9.01

BRISTOL MYERS SQUIBB CO	DE	5.02,5.03,9.01
BROADVISION INC	DE	3.01,8.01,9.01
BUCKEYE TECHNOLOGIES INC	DE	8.01,9.01
BULL RUN CORP	GA	4.02
BUTLER INTERNATIONAL INC /MD/	MD	4.01,9.01
CALLON PETROLEUM CO	DE	7.01,9.01
CALPINE CORP	DE	1.01,9.01
CANO PETROLEUM, INC		7.01
CARRAMERICA REALTY CORP	MD	5.02,9.01
CARVER BANCORP INC	DE	7.01,9.01
Cascade Energy, Inc.	NV	5.02,9.01
CATAPULT COMMUNICATIONS CORP	CA	1.01
CEC ENTERTAINMENT INC	KS	2.02
CELGENE CORP /DE/	DE	8.01,9.01
CENDANT CORP	DE	7.01,9.01
CENTERSTATE BANKS OF FLORIDA INC	FL	7.01,9.01
Chartwell International, Inc.	NV	1.01,2.01,5.02,9.01
CHASE BANK USA, NATIONAL ASSOCIATION	DE	9.01
CHASE CREDIT CARD MASTER TRUST	NY	8.01,9.01
CHATTEM INC	TN	2.02,9.01
CHEROKEE INTERNATIONAL CORP	DE	5.02,9.01
CHL Mortgage Pass-Through Trust 2005-	DE	8.01,9.01
CIB MARINE BANCSHARES INC	WI	2.02
CITIGROUP MORTGAGE LOAN TRUST INC		8.01,9.01
CITIGROUP MORTGAGE LOAN TRUST INC		9.01
CITIGROUP MORTGAGE LOAN TRUST INC. 20		2.01,9.01
CITIZENS FINANCIAL SERVICES INC	PA	1.01,9.01
CITIZENS FINANCIAL SERVICES INC	PA	1.01,9.01
CNL Hotels & Resorts, Inc.	MD	8.01,9.01
COACHMEN INDUSTRIES INC	IN	5.02

COGNIGEN NETWORKS INC	CO	8.01,9.01
COLLECTORS UNIVERSE INC	DE	2.02,9.01
COMCAST CORP	PA	8.01,9.01
COMCAST HOLDINGS CORP	PA	3.03,8.01,9.01
COMPETITIVE COMPANIES INC	NV	5.02
COMPUTER HORIZONS CORP	NY	5.02,9.01
COMPUTER HORIZONS CORP	NY	1.02
COMSYS IT PARTNERS INC	DE	1.01,7.01,8.01,9.01
CONCORDE CAREER COLLEGES INC	DE	1.01,9.01
Cord Blood America, Inc.	FL	1.01,2.03,9.01
CORDIA CORP	NV	5.02
CORPORATE BOND BACKED CERT TR SER 199		8.01,9.01
COSI INC	DE	7.01,9.01
CREDIT & ASSET REP VEHICLE CORP ALLST	DE	8.01,9.01
CRESCENT FINANCIAL CORP	NC	8.01,9.01
CRITICAL PATH INC	CA	3.01,9.01
CWABS INC ASSET BACKED CERTIFICATES S	DE	8.01,9.01
CWALT INC		8.01,9.01
CWHEQ, Inc.		8.01,9.01
DEAN FOODS CO/	DE	1.01,5.02
DELTA AIR LINES INC /DE/	DE	2.01,9.01
DELTA PETROLEUM CORP/CO	CO	1.01
DELTIC TIMBER CORP	DE	1.01,2.03,8.01
DIALOG GROUP INC	UT	1.01,2.01,3.02,5.01,9.01
Diamond Foods Inc	DE	7.01,9.01
DIGIMARC CORP	DE	4.01
DOLLAR TREE STORES INC	VA	7.01,9.01
DOVER CORP	DE	7.01,9.01
DUKE ENERGY CORP	NC	2.05,2.06,7.01,9.01
DUNE ENERGY INC	DE	8.01,9.01

DURAVEST INC	FL	1.01,3.02,9.01
DYCOM INDUSTRIES INC	FL	8.01,9.01
DYCOM INDUSTRIES INC	FL	1.01,9.01
E J NAK MATTRESS CO	UT	8.01
ECOST COM INC	DE	1.01,5.02
EDEN BIOSCIENCE CORP	WA	1.02,2.06
EGPI FIRECREEK, INC.	NV	2.03,9.01
Electronic Sensor Technology, Inc		5.02,9.01
EMERGE INTERACTIVE INC	DE	7.01,9.01
ENCLAVES GROUP INC	DE	1.01,9.01
ENDEAVOUR INTERNATIONAL CORP	NV	8.01,9.01
ENDEAVOUR INTERNATIONAL CORP	NV	7.01,9.01
ENDO PHARMACEUTICALS HOLDINGS INC	DE	7.01,9.01
ENDURANCE SPECIALTY HOLDINGS LTD		8.01,9.01
ENERGY CONVERSION DEVICES INC	DE	2.02,9.01
ENERTECK CORP		5.02
ENTERASYS NETWORKS INC /DE/	DE	5.03,9.01
EOG RESOURCES INC	DE	7.01
EPICUS COMMUNICATIONS GROUP INC	FL	8.01,9.01
EQUINIX INC	DE	1.01,2.02,5.02,9.01
EQUITY ONE INC	MD	8.01,9.01
EROOMSYSTEM TECHNOLOGIES INC	NV	1.01,9.01
ERP OPERATING LTD PARTNERSHIP	MD	8.01,9.01
ESESIS INC		4.01,9.01
EVEREST RE GROUP LTD	D0	7.01,9.01
EXAR CORP	DE	8.01,9.01
EXCELLIGENCE LEARNING CORP	DE	4.02,5.02,9.01
EZENIA INC	DE	5.02,7.01,9.01
FAIRCHILD INTERNATIONAL CORP	NV	9.01
FBR Securitization, Inc.		8.01,9.01

Federal Home Loan Bank of New York	X1	2.03
Federal Home Loan Bank of San Francis	X1	2.03
FIELDSTONE INVESTMENT CORP		7.01,9.01
FIRST ACCEPTANCE CORP /DE/	DE	2.02,7.01,9.01
FIRST AVENUE NETWORKS INC	DE	5.02
FIRST CITIZENS BANCORPORATION INC	SC	8.01,9.01
FIRST INVESTORS FINANCIAL SERVICES GR	TX	1.01,9.01
FIRST NIAGARA FINANCIAL GROUP INC	DE	8.01,9.01
FIRST UNION REAL ESTATE EQUITY & MORT	OH	1.01,7.01,9.01
FLANDERS CORP	NC	8.01
FOCUS ENHANCEMENTS INC	DE	3.01,9.01
FORGENT NETWORKS INC	DE	2.02,9.01
FREEPORT MCMORAN COPPER & GOLD INC	DE	8.01,9.01
GEMSTAR TV GUIDE INTERNATIONAL INC	DE	5.02,9.01
GENAERA CORP	DE	1.01,8.01,9.01
GENAERA CORP	DE	1.01,8.01,9.01
GENCOR INDUSTRIES INC	DE	5.02,9.01
GIANT INDUSTRIES INC	DE	7.01,9.01
GLADSTONE COMMERCIAL CORP	MD	1.01,2.03,9.01
GLOBAL AIRCRAFT SOLUTIONS, INC.	AZ	3.02
GLOBAL CROSSING LTD		2.02,7.01
GLOBAL CROSSING LTD		2.02,7.01,9.01
GOODRICH CORP	NY	5.02
GRAMERCY CAPITAL CORP	MD	8.01,9.01
GRANT PARK FUTURES FUND LIMITED PARTN	IL	7.01
GS FINANCIAL CORP	LA	8.01,9.01
HAMMONS JOHN Q HOTELS INC	DE	1.01,9.01
HAMMONS JOHN Q HOTELS LP	DE	1.01,9.01
HAMPTONS LUXURY HOMES INC	DE	4.01,9.01
HarborView Mortgage Loan Trust 2005-5	DE	8.01,9.01

HARTFORD FINANCIAL SERVICES GROUP INC	DE	1.01,1.02,2.03,9.01
HARTFORD LIFE INC	DE	1.01,1.02,2.03,9.01
HARTFORD LIFE INSURANCE CO	CT	9.01
HEALTH EXPRESS USA INC	FL	1.01,3.02,9.01
HEALTHSOUTH CORP	DE	7.01,9.01
HECHINGER LIQUIDATION TRUST		8.01
HERITAGE PROPERTY INVESTMENT TRUST IN	MD	1.01,9.01
HIBERNIA CORP	LA	5.04,9.01
HILB ROGAL & HOBBS CO	VA	1.01,5.02,9.01
HINES HORTICULTURE INC	DE	1.01,5.02
I2 TELECOM INTERNATIONAL INC	WA	1.01,3.02,9.01
IAS COMMUNICATIONS INC	OR	4.01,9.01
ICON INCOME FUND EIGHT /DE	DE	9.01
IMPERIAL SUGAR CO /NEW/	TX	7.01,9.01
IN VERITAS MEDICAL DIAGNOSTICS, INC.	CO	1.01,2.03,3.02,9.01
INDYMAC ABS INC	DE	8.01,9.01
IndyMac Residential Asset Securitizat		8.01,9.01
INFINITY ENERGY RESOURCES, INC	CO	8.01
INFORM WORLDWIDE HOLDINGS INC	CO	5.02
INLAND WESTERN RETAIL REAL ESTATE TRU	MD	9.01
INTEGRA LIFESCIENCES HOLDINGS CORP	DE	1.01,9.01
Integrated Healthcare Holdings Inc	NV	1.01,2.04,9.01
INTERACTIVE SYSTEMS WORLDWIDE INC /DE	DE	1.01,3.01,5.03,9.01
INTERNATIONAL GAME TECHNOLOGY	NV	8.01,9.01
INYX INC	NV	7.01,9.01
IOWA TELECOMMUNICATIONS SERVICES INC	IA	7.01,9.01
ITC DELTACOM INC	DE	5.03,8.01,9.01
ITLA CAPITAL CORP	CA	7.01
J CREW GROUP INC	NY	2.02,9.01
JO-ANN STORES INC	OH	5.02,9.01

JPMorgan Chase Bank, National Associa	NY	8.01,9.01
KAISER ALUMINUM & CHEMICAL CORP	DE	8.01,9.01
KAISER ALUMINUM CORP	DE	8.01,9.01
KEITH COMPANIES INC	CA	1.01,9.01
KERR MCGEE CORP /DE	DE	7.01,9.01
KNOLOGY INC	DE	8.01,9.01
KROGER CO	OH	2.02,9.01
LEXINGTON CORPORATE PROPERTIES TRUST	MD	1.01,8.01,9.01
Liberty Global, Inc.	DE	7.01
LYONDELL CHEMICAL CO	DE	8.01,9.01
MADISON RIVER CAPITAL LLC	DE	8.01,9.01
MAF BANCORP INC	DE	7.01
MAINE & MARITIMES CORP		8.01,9.01
MAIR HOLDINGS INC	MN	8.01
MANATRON INC	MI	2.02,7.01,9.01
MATERIAL TECHNOLOGIES INC /CA/	DE	1.01,3.02
MEDCO HEALTH SOLUTIONS INC	DE	9.01
MEDICOR LTD	DE	1.01,9.01
MERCANTILE BANKSHARES CORP	MD	8.01,9.01
MERCURY AIR GROUP INC	NY	1.01,9.01
Meritage Mortgage Loan Trust 2005-2	DE	2.01,8.01,9.01
MERRILL LYNCH MORTGAGE INVESTORS INC	DE	8.01,9.01
METRIS RECEIVABLES INC	DE	8.01,9.01
MGM MIRAGE	DE	1.01,2.03,8.01,9.01
MILLENNIUM CHEMICALS INC	DE	8.01,9.01
MOBILEPRO CORP	DE	9.01
MONEYFLOW SYSTEMS INTERNATIONAL INC	A0	3.02
MORGAN STANLEY ABS CAPITAL I INC	DE	8.01
Morgan Stanley Capital I Trust 2005 T	DE	8.01,9.01
MortgageIT Holdings, Inc.	MD	7.01,9.01

MOSAIC CO		7.01,9.01
NATIONAL FUEL GAS CO	NJ	1.01
NEOPHARM INC	DE	1.01,9.01
NEWFIELD EXPLORATION CO /DE/	DE	7.01,9.01
NewGen Technologies, Inc	NV	5.02
NEWTECH RESOURCES LTD	NV	3.02
NEWTECH RESOURCES LTD	NV	3.02
NEWTECH RESOURCES LTD	NV	3.02
NEWTECH RESOURCES LTD	NV	3.02
NICHOLAS FINANCIAL INC	FL	8.01,9.01
NNN 2003 VALUE FUND LLC		7.01,9.01
NORTH BAY BANCORP/CA	CA	8.01,9.01
NORTHERN TECHNOLOGIES INTERNATIONAL C	DE	1.01
NORTHWEST AIRLINES CORP	DE	8.01
NORTHWEST AIRLINES CORP	DE	5.02
NOVASTAR FINANCIAL INC	MD	7.01,9.01
NOVASTAR FINANCIAL INC	MD	7.01,9.01
NPS PHARMACEUTICALS INC	DE	9.01
NT HOLDING CORP.	DE	5.02
NUVEEN INVESTMENTS INC	DE	1.01,9.01
OCEANFIRST FINANCIAL CORP	DE	5.02,9.01
ONE LINK 4 TRAVEL INC	DE	7.01,9.01
ORAGENICS INC	FL	5.02,9.01
OVERSTOCK COM INC	UT	3.02
OXFORD MEDIA, INC.	NV	5.02
OXFORD VENTURES INC	NV	1.01
PACER HEALTH CORP	FL	2.01
PACIFIC GOLD CORP	NV	4.01,9.01
Park Place Securities, Inc. Series 20	DE	8.01,9.01
Park Place Securities, Inc., Asset-Ba	DE	2.01,9.01

PEOPLES BANCTRUST CO INC	AL	1.01,5.02,9.01
PEOPLES OHIO FINANCIAL CORP	OH	8.01
PETROQUEST ENERGY INC	DE	7.01,9.01
PHARMACYCLICS INC	DE	8.01,9.01
PHOTONIC PRODUCTS GROUP INC	NJ	5.02
PNC FINANCIAL SERVICES GROUP INC	PA	2.02,9.01
POLYONE CORP		7.01,9.01
POWELL INDUSTRIES INC	NV	2.01,9.01
PREMIERE GLOBAL SERVICES, INC.	GA	7.01,9.01
PRIME GROUP REALTY TRUST	MD	1.01,9.01
PRO PHARMACEUTICALS INC	NV	8.01,9.01
PROTEIN DESIGN LABS INC/DE	DE	1.01,9.01
QUANTUM CORP /DE/	DE	5.02,9.01
QUESTCOR PHARMACEUTICALS INC	CA	1.01,9.01
RALI Series 2005-QS12 Trust	DE	8.01,9.01
REGI U S INC	OR	4.01,9.01
RESIDENTIAL ASSET MORTGAGE PRODUCTS I	DE	8.01,9.01
RESIDENTIAL ASSET MORTGAGE PRODUCTS I	DE	8.01,9.01
REYNOLDS AMERICAN INC		5.02,9.01
RURAL/METRO CORP /DE/	DE	2.02,9.01
SAFEGUARD SCIENTIFICS INC	PA	1.01,5.02,8.01,9.01
SALEM COMMUNICATIONS CORP /DE/	DE	1.01
SALTON INC	DE	1.01,9.01
SCHOOL SPECIALTY INC	WI	8.01,9.01
SEACOR HOLDINGS INC /NEW/	DE	1.01,5.02,5.03,9.01
SELECT COMFORT CORP		7.01,9.01
SIGN MEDIA SYSTEMS INC		4.01
SINCLAIR BROADCAST GROUP INC	MD	1.01
SIZELER PROPERTY INVESTORS INC	DE	1.01,5.02,5.03,9.01
SKYWEST INC	UT	1.01,2.01,8.01,9.01

SMART ONLINE INC		3.02
SMART ONLINE INC		5.02,9.01
SMITH & WESSON HOLDING CORP	NV	1.01,3.02,9.01
SOTHEBYS HOLDINGS INC	MI	3.01,5.03,9.01
SPEAKING ROSES INTERNATIONAL INC	NV	5.02,7.01,8.01,9.01
SPEEDEMISSIONS INC	FL	1.01,2.01,9.01
SPEEDEMISSIONS INC	FL	9.01
ST PAUL TRAVELERS COMPANIES INC	MN	8.01,9.01
STANDARD PARKING CORP	DE	8.01
STOCKERYALE INC	MA	7.01,9.01
STRATEGIC HOTEL CAPITAL INC		1.01,7.01,9.01
STRATS(SM) Trust For Morgan Stanley S		8.01,9.01
STRUCTURED ASSET MORT INV II INC BEAR	DE	8.01,9.01
STRUCTURED ASSET MORT INV II INC BEAR	DE	8.01,9.01
STRUCTURED ASSET MORTGAGE INVESTMENTS	DE	8.01,9.01
Structured Asset Securities Corp 2005	DE	8.01
STRUCTURED PRODUCTS CORP CAST STEP UP	DE	8.01,9.01
STRUCTURED PRODUCTS CORP CAST STEP UP	DE	8.01,9.01
STRUCTURED PRODUCTS CORP CASTSM STEP	DE	8.01,9.01
STRUCTURED PRODUCTS CORP CORTS TRUST	DE	8.01,9.01
STRUCTURED PRODUCTS CORP TIERS R INFL	DE	8.01,9.01
STRUCTURED PRODUCTS CORTS TRUST FOR J	DE	8.01,9.01
SUNTRUST BANKS INC	GA	2.02,7.01,9.01
SWS GROUP INC	DE	2.02,4.02,9.01
Symmetry Medical Inc.	DE	1.01,8.01,9.01
TARGETED GENETICS CORP /WA/	WA	5.02
TBS INTERNATIONAL LTD		7.01,9.01
TechAlt, Inc.	NV	8.01
TECHNICAL OLYMPIC USA INC	DE	8.01
TECHNOLOGY VISIONS GROUP INC	DE	1.01,2.03,3.02,8.01,9.01

TEMPLE INLAND INC	DE	7.01,9.01
TERAYON COMMUNICATION SYSTEMS	DE	7.01,9.01
TERRA INDUSTRIES INC	MD	8.01,9.01
Terwin Mortgage Trust Asset-Backed Ce	DE	8.01,9.01
TETRA TECHNOLOGIES INC	DE	2.01,9.01
TEXAS REGIONAL BANCSHARES INC	TX	8.01,9.01
TORRENT ENERGY CORP	CO	4.01,9.01
TORVEC INC	NY	3.02,5.02
TRADESTREAM GLOBAL CORP.	DE	5.02,9.01
TRANSAMERICAN HOLDINGS INC	NV	8.01
TRESTLE HOLDINGS INC	DE	8.01
TRI STATE 1ST BANK INC	OH	5.02
TRUSTREET PROPERTIES INC	MD	7.01,9.01
TURBOCHEF TECHNOLOGIES INC	DE	1.01,2.01,3.02,8.01,9.01
UNISOURCE ENERGY CORP	AZ	1.01,8.01,9.01
UNOVA INC	DE	8.01,9.01
UNOVA INC	DE	5.02,9.01
URSTADT BIDDLE PROPERTIES INC	MD	2.02,9.01
USEC INC	DE	1.01,1.02,2.05,5.02,9.01
UTAH MEDICAL PRODUCTS INC	UT	8.01
Valley Bancorp	NV	7.01,9.01
VERSAR INC	DE	1.01
VERSAR INC	DE	5.02
VION PHARMACEUTICALS INC	DE	1.01,5.02,9.01
VIRGINIA FINANCIAL GROUP INC	VA	7.01,9.01
WASHINGTON MUTUAL MORTGAGE SECURITIES	DE	9.01
WATERS INSTRUMENTS INC	MN	2.02,9.01
WATSON PHARMACEUTICALS INC	NV	1.01,9.01
WEIDA COMMUNICATIONS, INC.	NJ	1.01,5.02,9.01
WELLSFORD REAL PROPERTIES INC	MD	8.01,9.01

WHITING PETROLEUM CORP	DE	7.01,9.01
WHX CORP	DE	1.01
WIRELESS AGE COMMUNICATIONS INC	NV	2.01,9.01
WIRELESS AGE COMMUNICATIONS INC	NV	2.01,9.01
WORLD HEALTH ALTERNATIVES INC	FL	1.01,5.02,8.01,9.01
WRIGLEY WM JR CO	DE	2.01,9.01
XFONE INC	NV	8.01,9.01
XL CAPITAL LTD		8.01,9.01
XTEN NETWORKS, INC	NV	1.01,3.02,5.02
ZONAGEN INC	DE	8.01,9.01