

FOR IMMEDIATE RELEASE
2001-119

SEC HISTORICAL SOCIETY TO HOLD MAJOR ISSUES CONFERENCE ON
SECURITIES REGULATION IN THE GLOBAL INTERNET ECONOMY

Washington, DC, October 25, 2001 - The Securities and Exchange Commission today announced that the Securities and Exchange Commission Historical Society, in cooperation with the Commission and with the support of the Northwestern University School of Law, will hold a Major Issues Conference on Securities Regulation in the Global Internet Economy. This is the first SEC-supported conference since 1984 that is devoted to examining broad policy issues in securities regulation.

The conference will be held November 14-15 at the Grand Hyatt Hotel at 1000 H Street, N.W., Washington, DC, and will bring together more than 43 speakers to participate in five panel discussions. The theme of Securities Regulation in the Global Internet Economy was chosen because the rapid development of a global marketplace requires a careful reexamination of the fundamental principles of securities regulation.

SEC Chairman Harvey Pitt, who will address the group as the keynote speaker, said, "In the field of securities law today, there is no more important priority for securities regulators than to address the role of securities regulation in the global marketplace. This conference will play an important role in helping us set our regulatory agenda. We look forward to participating in the proceedings and discussing the important issues and challenges confronting today's capital markets."

David Ruder, Chairman of the Historical Society and a former Chairman of the SEC, said, "We are extremely fortunate to have assembled such an outstanding group of both theorists and practitioners of securities regulation. The conference will offer much that will be worthwhile and thought provoking for attendees from the securities bar, accounting profession and securities industry."

Conference sessions will cover the entire range of Commission responsibilities in the global Internet economy. Speakers at the conference represent a broad spectrum of U.S. and foreign securities regulators, stock market officials, accountants, lawyers, and academics.

Senator Paul Sarbanes, Chairman of the Senate Committee on Banking, Housing and Urban Affairs, and Michel Prada, Chairman of the French Commission des Operations de Bourse, will be the featured luncheon speakers. Panelists will include Edmund Jenkins of the Financial Accounting Standards Board, Richard Ketchum of the National Association of Securities Dealers, and senior officials of foreign

regulatory agencies in Europe, Asia and South America. A complete list of the speakers is attached, and the entire agenda and registration form are available on the Commission's website at <http://www.sec.gov>. For additional information, please contact R. A. Pete Wentz, Associate Dean, Northwestern University School of Law at (312) 503-1828.

The Securities and Exchange Commission Historical Society was founded in 1999. It works to preserve the history of the SEC, to sponsor research and educational programs regarding the SEC, and to enhance understanding of United States and world capital markets.

#

Securities Regulation in the Global Internet Economy
November 14 and 15, 2001 - Washington, D.C.
Speakers

Keynote Speakers:

Harvey L. Pitt, Chairman of the United States
Securities and Exchange
Commission
Senator Paul S. Sarbanes, Chairman of the Senate
Committee on Banking, Housing, and Urban Affairs
Michel Prada, Chairman of the Commission des Operations
de Bourse, France

Foreign Securities Regulators and Officials:

David A. Brown, Chairman, Ontario Securities Commission
John Mogg, Director General, Internal Market
Directorate General, European
Commission
Anthony Neoh, Chief Advisor, China Securities
Regulatory Commission
Jose L. Osorio, President, Comissao de Valores
Mobiliarios, Brazil
Stuart Willey, Chief Counsel, Investment Business,
Financial Services Authority, England
George Wittich, President, BAWe (Securities
Commission), and former Chair, Federation of
European Securities Commissions

Accounting Standards Setters:

Edmund L. Jenkins, Chairman, Financial Accounting
Standards Board
Sir David Tweedie, Chairman, International Accounting
Standards Board

Stock Market Officials:

Donald Cruickshank, Chairman, London Stock Exchange
Philip D. Defeo, Chairman and Chief Executive Officer,
Pacific Exchange
Richard A. Grasso, Chairman and Chief Executive
Officer, New York Stock Exchange, Inc.
Richard G. Ketchum, President and Chief Operating
Officer, National Association of Securities Dealers,
Inc.

Securities Industry Participants:

Douglas Atkin, President and Chief Executive Officer,
Instinet Group, Inc.

James Dannis, Berens Capital Management

Stephen L. Hammerman, Vice Chairman of the Board,
Merrill Lynch & Co., Inc.

Gary G. Lynch, Global General Counsel, Credit Suisse
First Boston

Robert C. Pozen, Vice Chairman, Fidelity Investments

David Silver, Former Chairman, ICI Mutual Insurance
Company

Steven M. H. Wallman, President, Foliofn Investments,
Inc.

Academics:

David S. Ruder, William W. Gurley Memorial Professor of
Law, Northwestern University School of Law

Andrei Shleifer, Professor, Department of Economics,
Harvard University

Lynn E. Turner, Professor, College of Business,
Colorado State University

Accountants:

Philip A. Laskawy, former Chairman and Chief Executive
Officer, Ernst & Young

Lawyers:

Edward F. Greene, Cleary, Gottlieb, Steen & Hamilton

Alan B. Levenson, Fulbright & Jaworski

Kathleen H. Moriarty, Carter, Ledyard & Milburn

Richard M. Phillips, Kirkpatrick & Lockhart

Linda C. Quinn, Shearman & Sterling

William Underhill, Slaughter and May

Steven K. West, Sullivan & Cromwell

William J. Williams Jr., Sullivan & Cromwell

United States Securities and Exchange Commissioners and
Senior Staff:

Isaac C. Hunt Jr., Commissioner

Laura S. Unger, Commissioner

David M. Becker, General Counsel

Stephen M. Cutler, Acting Director, Division of
Enforcement

Felice B. Friedman, Acting Director, Office of
International Affairs

Robert K. Herdman, Chief Accountant

David B. H. Martin Jr., Director, Division of
Corporation Finance

Annette L. Nazareth, Director, Division of Market
Regulation

Paul F. Roye, Director, Division of Investment
Management

To register, contact: R. A. Pete Wentz, Associate Dean,
Northwestern University School of Law
p-wentz@law.northwestern.edu
(312) 503-1828